

Neuroticism as a Predictor of Frailty in Old Age: A Genetically Informative Approach

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ABSTRACT

Objective: Neuroticism is associated with poor health outcomes, but its contribution to the accumulation of health deficits in old age, that is, the frailty index, is largely unknown. We aimed to explore associations between neuroticism and frailty cross-sectionally and longitudinally, and to investigate the contribution of shared genetic influences.

Methods: Data were derived from the UK Biobank (UKB; $n = 274,951$), the Australian Over 50's Study (AO50; $n = 2849$), and the Swedish Twin Registry (Screening Across the Lifespan of Twins Study [SALT], $n = 18,960$; The Swedish Adoption/Twin Study of Aging [SATSA], $n = 1365$). Associations between neuroticism and the frailty index were investigated using regression analysis cross-sectionally in UKB, AO50, and SATSA and longitudinally in SALT (25–29 years of follow-up) and SATSA (6 and 23 years of follow-up). The co-twin control method was applied to explore the contribution of underlying shared familial factors (SALT, SATSA, AO50). Genome-wide polygenic risk scores for neuroticism were used in all samples to further assess whether common genetic variants associated with neuroticism predict frailty.

Results: High neuroticism was consistently associated with greater frailty cross-sectionally (adjusted β [95% confidence intervals] in UKB = 0.32 [0.32–0.33]; AO50 = 0.35 [0.31–0.39]; SATSA = 0.33 [0.27–0.39]) and longitudinally up to 29 years (SALT = 0.24 [0.22–0.25]; SATSA 6 years = 0.31 [0.24–0.38]; SATSA 23 years = 0.16 [0.07–0.25]). When adjusting for underlying shared genetic and environmental factors, the neuroticism-frailty association remained significant, although decreased. Polygenic risk scores for neuroticism significantly predicted frailty in the two larger samples (meta-analyzed total $\beta = 0.059$ [0.055–0.062]).

Conclusions: Neuroticism in midlife predicts frailty in late life. Neuroticism may have a causal influence on frailty, whereas both environmental and genetic influences, including neuroticism-associated common genetic variants, contribute to this relationship.

Key words: negative affect, health decline, polygenic risk score, twins, cohort study.

INTRODUCTION

Although chronological age is a major determinant of health status, there is substantial diversity in health among older people of the same age (1). One indicator of such variation in health is frailty, a condition observed in older people reflecting cumulative decline in various physiological systems (2). A common method to assess frailty is the calculation of a frailty index (FI), where frailty is defined as the accumulation of health deficits expressed as the proportion of present deficits of the total health deficits considered (3). The health deficits can be symptoms, disabilities, signs of diseases, and diagnosed diseases covering multiple physiological systems, as well as psychological health and well-being, items often covered in health surveys and routine health assessments. FI is a strong predictor of mortality (4–6) and has been linked to numerous other negative health outcomes, such as disability, institutionalization, and hospitalization (7), even

when varying number and types of health deficits are used for FI calculation (3).

The causes of frailty are multifactorial, and it is widely accepted that many biological, social, and psychological factors are likely involved (8). Although most research has focused on biological and physical factors associated with frailty (e.g., body weight) (8), as well as on sociodemographic factors (e.g., older age, female sex, and lower educational level) (9), less is known about how psychological factors could contribute to frailty. Neuroticism, a stable

AO50 = The Australian Over 50's Study, BMI = body mass index, CI = confidence interval, DZ = dizygotic, EPQ = Eysenck's Personality Questionnaire, EPQ-R = Eysenck's Personality Questionnaire – Revised, FI = frailty index, GWAS = genome-wide association study, MZ = monozygotic, PRS = polygenic risk score, PRS_N = polygenic risk score for neuroticism, PC = principal component, SALT = Screening Across the Lifespan of Twins Study, SATSA = The Swedish Adoption/Twin Study of Aging, STR = Swedish Twin Registry, UKB = UK Biobank

SDC Supplemental Content

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personality trait reflecting a tendency toward emotional instability and negative affect (e.g., depressed mood, worry, and fear), has been consistently associated with a wide range of physical and mental health problems such as cardiovascular disease, disrupted immune functioning, asthma, irritable bowel syndrome, atopic eczema, migraine, mood and anxiety disorders, and even increased risk of premature mortality (10–12), potentially also affecting frailty. To our knowledge, the association between neuroticism and FI has been investigated in only one previous study, suggesting that high neuroticism levels are associated with higher FI scores 2 years later (13). In addition, two previous studies have examined the association between neuroticism and a physical measure of frailty (i.e., the Fried frailty phenotype), indicating that high neuroticism is associated with physical frailty concurrently and longitudinally, over up to 8 years (14,15).

Twin and family studies show moderate heritability of neuroticism, with approximately 40% of individual differences in the trait attributable to genetic influences (16), potentially contributing to its persistent associations with health problems. Indeed, twin studies also show moderate heritability for many somatic and health-related measures (17), and genetic overlap between neuroticism and some somatic diseases have been detected (18), indicating that neuroticism and health problems could be associated in part because of shared genetic influences.

As a complex phenotype, recent genome-wide association studies (GWASs) have confirmed the complex genetic architecture of neuroticism where many genetic variants with small effects are involved (19). By using information from GWASs, polygenic risk scores (PRSs) allow for testing the contribution of thousands of neuroticism-related common genetic variants in frailty in old age, providing more insight into the potential sources underlying the association.

To date, little is known about the nature of the association between neuroticism and frailty because study designs used in previous studies do not allow for conclusions about the underlying genetic factors and whether neuroticism could be causally contributing to frailty. In the present study, we investigated the association between neuroticism and frailty in middle-aged and older adults using four large genetically informative samples. Specifically, we aimed to a) assess the phenotypic cross-sectional and longitudinal association between neuroticism and frailty, expanding the follow-up time to up to 29 years; b) assess whether the association between neuroticism and frailty remains after adjusting for shared familial influences (i.e., assess whether the association is in line with causality); and c) examine whether measured genetic risk for neuroticism contributes to frailty.

METHODS

Data Sources/Participants

Data were derived from four cohorts of middle-aged and older individuals of white descent, the UK Biobank (UKB) (20), the Australian Over 50's Study (AO50) (21), and two subsamples of the Swedish Twin Registry (STR) (22,23).

The UKB is a large resource of health, life-style and genetic data on currently approximately 500,000 individuals aged 39 to 73 years at recruitment (20). Genotype information was available for 244,070 individuals after exclusions (see details in the Supplemental Material, <http://links.lww.com/PSYMED/A580>).

The AO50 is a cross-sectional population-based study of Australian twins older than 50 years. The sample consisted of 3053 individuals aged between 50 and 94 years who answered a mailed-out questionnaire between 1993 and 1995, which included assessments on personality traits, physical and mental health, life-style factors, and demographic characteristics (21). Genotype information was available for 1037 individuals after exclusions.

Screening Across the Lifespan of Twins (SALT) is a cohort study of STR twins born in 1886 to 1958 ($n = 44,919$) (22). Health and life-style data were collected between 1998 and 2002 through a computer-assisted telephone interview. Personality information was available for all SALT participants born 1926 to 1958 who had completed a mailed-out questionnaire in 1973 (22), resulting in a 25- to 29-year follow-up between neuroticism and frailty assessments for 24,432 individuals. Genotype information was available for a subsample ($n = 10,712$) (23).

The Swedish Adoption/Twin Study of Aging (SATSA) is a longitudinal study of aging spanning more than 30 years and includes nine questionnaire-based study waves (24). In 1984, a questionnaire covering a wide range of health, life-style and personality factors was sent out to all STR twins who were reared apart and a matched sample of twins who were reared together ($n = 3838$) (24). In the present study, we used baseline information from wave 2 (Q2; 1987, $n = 1637$) and follow-up information from waves 4 (Q4; 1993, $n = 1450$) and 7 (Q7; 2010, $n = 568$), providing follow-up data over 6 (wave 4) and 23 years (wave 7). In total, there are 929 individuals with both baseline and 6-year follow-up information and 191 individuals with both baseline and 23-year follow-up information. Waves 2, 4, and 7 were selected based on data availability and to maximize sample size in longitudinal analyses. Sample overlap between SATSA and SALT was removed from all SALT analyses. Genotype information was available for 637 individuals.

Neuroticism Assessment

In the UKB and AO50, neuroticism was measured with a 12-item version of the neuroticism scale from the Eysenck Personality Questionnaire – Revised (EPQ-R) (25). In the STR, neuroticism was measured with a nine-item version from the EPQ (26). The EPQ itself is a reliable and valid tool to measure neuroticism (26). In addition, the 12-item version used in the UKB and AO50 has demonstrated good reliability and validity (25), and the 9-item version (27) used in the STR has previously been widely used in Scandinavian twin studies (28). Items were scored as “no” [0] or “yes” [1] and then summed with a higher score indicating higher levels of neuroticism (see Supplemental Figure 1 for the distribution of neuroticism scores in each sample, <http://links.lww.com/PSYMED/A580>).

Frailty Index

The Rockwood FI was used to assess frailty and created in each sample following the standard protocol (3). The minimum number of items considered is recommended to fall between 30 and 40, but the more deficits included, the more precise the measure. Here, the derived FIs were based on 49 health deficits in UKB, 44 in SALT, 42 in SATSA, and 40 in AO50, depending on the relevant measures available in each sample (see Supplemental Table 1 for a list of health deficits included in each FI, <http://links.lww.com/PSYMED/A580>). An individual's FI score constitutes of the number of deficits (for that individual) divided by the total number of deficits composing the FI. The FI ranges from 0 to 1, where higher values indicate greater frailty. Although the theoretical maximum of the FI is 1, >99% of individuals in all populations have an FI of <0.7, indicating that survival beyond this point is lethal (29). In addition, although FI captures age-related health decline into late life, it has been found useful in predicting disease end points also in middle-aged individuals (30). Detailed descriptions of the creation and validation of the FIs were reported elsewhere; see Refs. (31) for UKB, (5) for SATSA, and (32) for SALT. For a detailed description of the FI in AO50 study, see the Supplemental Materials, <http://links.lww.com/PSYMED/A580>. Because the FI in three samples included

some neuroticism items, and this could lead to overestimation of the effect sizes, items directly overlapping between FIs and the respective EPQ scales were excluded (three items in UKB, one item in AO50, and two items in SATSA; see Supplemental Table 1, <http://links.lww.com/PSYMED/A580>) and FIs were recalculated. The FIs excluding neuroticism items were used in the primary analyses.

Genotyping

For UKB, imputed genetic data released in 2018 were used. Two custom genotyping arrays were used to cover more than 800,000 markers and were further imputed to Haplotype Reference Consortium (HRC) and UK10K + 1000 Genomes phase 3 reference panels (33). In AO50, individuals were genotyped using Illumina single-nucleotide polymorphism (SNP) platforms—317, 370, 610, 660, Core-Exome, PsychChip, Omni2.5, and OmniExpress—and were imputed to HRC.1.1. In SALT, genotyping was carried out using the Illumina OmniExpress bead chip and further imputed to Hapmap 2 build 36 reference panel. In SATSA, genotyping was carried out using Illumina PsychArray-24 BeadChip and imputed to 1000 Genomes phase 3 reference panel. For both STR samples, only one twin from each monozygotic (MZ) pair was directly genotyped, and genotypes were later imputed to their co-twin.

PRSs for Neuroticism

PRSs for neuroticism (PRS_N) were created in the four independent target samples using the results (effect sizes and p values for each SNP) from a GWAS on neuroticism (34), by counting the numbers of risk alleles at independent loci, multiplying with the effect size, and summing the values across all investigated SNPs (performed in Plink 1.9 and Plink 2.0). The PRS_N were created under eight p value thresholds (p_T), from 5×10^{-8} to 1 in UKB, AO50, and SATSA and from 0.001 to 1 in SALT, and each PRS_N was standardized using z scores. The threshold that explains the highest percentage of variance in neuroticism in each sample was used in the main hypothesis testing.

Covariates

Variables with a conceptual rationale for being associated both with neuroticism trait scores and FI scores were considered as potential confounders. These included age, sex, education, smoking status, physical activity, and body mass index (BMI). In the UKB and AO50, all covariates were measured concurrently with neuroticism and frailty. In SATSA and SALT, all covariates were measured at baseline, with the exception of education in SALT, which was concurrent. For more detailed description of covariate assessment, see the Supplemental Materials, <http://links.lww.com/PSYMED/A580>. In analyses including PRS_N , 4 to 20 principal components (PCs; depending on the target sample size) were included as covariates to account for population stratification.

Statistical Analysis

We included all white participants with available information on variables of interest for each respective analysis. In the UKB, nonwhite participants and those who had withdrawn their participation consent were excluded. Exclusion criteria for the PRS analyses are described in more detail in the Supplemental Materials, <http://links.lww.com/PSYMED/A580>.

Phenotypic Analyses

Multivariable linear regression analyses were used to determine whether neuroticism was phenotypically associated with FI scores cross-sectionally in UKB, AO50, and SATSA, and longitudinally in SALT and SATSA (over 25–29 years in SALT and over 6 and 23 years in SATSA; aim 1). In the cross-sectional analyses, we adjusted for age, sex, and educational level (model 1) and then additionally for smoking status, exercise, and BMI (model 2). In the longitudinal analyses, follow-up FI scores were predicted from baseline neuroticism while adjusting for all covariates (model 1). To reduce the possibility of reverse causation (i.e., higher baseline frailty

influencing neuroticism level), baseline FI score/chronic illness (chronic illness in SALT as baseline frailty could not be derived) was additionally included as a covariate (model 2). Because the cohorts are composed of related individuals (twins in AO50, SALT, and SATSA), dependency between observations due to relatedness was adjusted for by using cluster-robust standard error estimator (i.e., the sandwich estimator) on family ID.

Co-twin Control Analyses

Co-twin control analysis was used to examine associations between neuroticism and frailty with regard to familial (genetic and environmental) factors shared within the twin pair (aim 2). Dizygotic (DZ) twins share on average 50% of their segregating genes and MZ twins share all their genes, whereas both MZ and DZ twins share their family environment. If neuroticism's effect on frailty is beyond familial influences (consistent with a causal hypothesis), we would expect that the twin with higher neuroticism would also be more frail; that is, the within-pair associations (MZ and DZ) between neuroticism and frailty would be similar in strength to the individual-level association in the whole sample (35). If the association is better explained by shared underlying factors (e.g., genetic factors), the strength of the association would be attenuated within DZ and especially MZ twins (see Ref. (35) for further details). Within-pair difference scores for neuroticism and FI were calculated. Linear regression analyses were used to test whether within-pair differences in neuroticism predicted within-pair differences in FI scores, both cross-sectionally (in AO50 and SATSA) and longitudinally (25–29 years in SALT and in SATSA only over 6 years as the number of full pairs was low after 23 years), adjusting for within-pair differences in education, smoking, exercise, and BMI. Because only same-sex twins were included, and twins are by default the same age, possible confounding influences of sex and age were intrinsically adjusted for by the co-twin design.

PRS Analyses

Multivariable linear regression with PRS_N as an independent variable adjusting for age, sex, and PCs was used. First, to validate PRS_N as a predictor for neuroticism and to determine which PRS_N p_T explained the highest proportion of variance in phenotypic neuroticism in each sample to be used for the main analyses, the differences in R^2 between the full (including PRS_N) and reduced (including only the covariates) models were compared. The selected PRS_N were then regressed on the respective frailty score in each sample to examine whether measured genetic risk for neuroticism predicts frailty (aim 3). The resulting coefficients from each cohort were then combined in a meta-analysis to get an estimate of the overall effect taking into account sample size. Dependency between observations was adjusted for by using the cluster-robust standard error estimator on family ID.

Standardized regression coefficients were reported for all regression analyses to enable comparison between models. Statistical analyses were carried out using Stata version 15.

Sensitivity Analyses

Because neuroticism shows correlations with mental health (11), we also created additional FIs in UKB, AO50, and SATSA cohorts, further removing any mental health items (i.e., four items in UKB, three items in AO50, and two items in SATSA; see Supplemental Table 1, <http://links.lww.com/PSYMED/A580>), and re-ran the cross-sectional analyses as sensitivity analysis, to assess whether the association between neuroticism and frailty held after excluding mental health items in addition to already excluded neuroticism items. Furthermore, item-level sensitivity analyses between frailty and neuroticism (i.e., neuroticism items predicting FI score and neuroticism sum score predicting frailty items) were conducted. A sensitivity analysis was also carried out to test the association between PRS_N created under all eight p_T values and FI scores.

RESULTS

Descriptive statistics of baseline characteristics and follow-up FI scores of the four samples are presented in Table 1. In all cohorts with cross-sectional data, higher neuroticism was associated with higher FI scores (Table 2) with approximately 0.3-SD increase in FI scores with each SD increase in neuroticism. The sensitivity analysis without any mental health items in the FI showed similar results, though attenuated in all cohorts (UKB, 28% attenuation; AO50, 19% attenuation; and SATSA, 12% attenuation; see Supplemental Table 2, <http://links.lww.com/PSYMED/A580>). The longitudinal analyses between neuroticism and frailty in SALT and SATSA showed that high baseline neuroticism was associated with higher frailty measured 6, 23, and 25 to 29 years later (Table 3, model 1). Furthermore, the association remained significant when adjusting for baseline chronic illness in SALT as well as baseline frailty in SATSA across 6 but not 23 years (Table 3, model 2).

Item-level sensitivity analysis showed that most neuroticism items were associated with frailty, with no single item standing out across the four samples (Supplemental Tables 3 and 4, <http://links.lww.com/PSYMED/A580>). In addition, neuroticism sum score was associated with most frailty items in all four samples, although relatively stronger associations were found for depressed mood and self-rated health (Supplemental Tables 5 and 6, <http://links.lww.com/PSYMED/A580>).

Within-pair differences in neuroticism significantly predicted within-pair differences in FI scores cross-sectionally, both in DZ and MZ twin pairs (Figure 1A). The association was lower in DZ pairs compared with the cross-sectional association observed in the full cohorts and even lower in MZ pairs, although the attenuation was not significant. Within-pair differences in baseline neuroticism also significantly predicted within-pair differences in follow-up FI scores. Again, there is a trend toward a weaker association between neuroticism and FI scores in MZ twins compared with DZ twins and compared with the association observed in the full cohort, especially evident in SALT (Figure 1B).

The best PRS_N explained 1.3% of the variance in neuroticism in UKB ($p_T < .1$), 0.5% in AO50 ($p_T < 1 \times 10^{-5}$), 0.3% in SALT ($p_T < .3$), and 1.8% in SATSA ($p_T < 1$; Supplemental Figure 2, <http://links.lww.com/PSYMED/A580>). Furthermore, PRS_N explained 0.36% of the FI variance in UKB and 0.26% in SALT but was nonsignificant in AO50 and SATSA (Figure 2). When meta-analyzed, overall higher polygenic risk of neuroticism significantly predicted FI scores (Figure 3). See Supplemental Table 7 for sensitivity analysis including all eight p_T values, <http://links.lww.com/PSYMED/A580>.

DISCUSSION

By using cross-sectional and longitudinal data from large genetically informative samples of middle-aged and older adults, we

TABLE 1. Descriptive Characteristics of the Samples

	UKB		AO50		STR			
					SALT		SATSA	
	Mean (SD)/%	Total <i>n</i>	Mean (SD)/%	Total <i>n</i>	Mean (SD)/%	Total <i>n</i>	Mean (SD)/%	Total <i>n</i>
Baseline age, y	56.89 (8.01)	423,960	61.35 (8.60)	3011	29.14 (8.82)	23,744	62.42 (13.72)	1637
Age <10 y follow-up	na		na		na		65.06 (12.98)	1450
Age >20 y follow-up	na		na		55.88 (8.00)	23,744	73.56 (9.89)	568
Sex (female)	54%	423,960	70%	3011	53%	23,744	59%	1637
Zygoty (MZ)	na		49%	3011	40%	18,444	34%	1563
Neuroticism ^a	4.12 (3.26)	343,744	4.00 (3.17)	2946	2.78 (2.33)	21,175	2.43 (2.22)	1575
Smoking status		422,078		2960		21,081		1460
Nonsmoker	55%		51%		45%		71%	
Ex-smoker	35%		36%		42%		6%	
Current smoker	10%		13%		13%		23%	
Exercise (yes)	85%	423,960	89%	2847	89%	21,123	88%	1609
Educational level (high)	78.70%	341,812	74.25%	2901	26.95%	22,100	13.37%	1518
BMI, kg/m ²	27.40 (4.81)	421,520	25.86 (4.02)	2973	21.74 (2.85)	20,951	24.56 (3.50)	1444
Chronic illness (yes)	na		na		14.10%		na	
FI score baseline ^b	0.11 (0.07)	422,931	0.14 (0.09)	3011	na		0.10 (0.09)	1479
FI score <10 y follow-up ^c	na		na		na		0.09 (0.08)	1407
FI score >20 y follow-up ^d	na		na		0.12 (0.085)	23,085	0.12 (0.10)	522

STR = Swedish Twin Registry; UKB = UK Biobank; AO50 = The Australian Over 50's Study; SALT = Screening Across the Lifespan of Twins Study; SATSA = The Swedish Adoption/Twin Study of Aging; MZ = monozygotic; na = not applicable; BMI = body mass index; FI = frailty index.

^a Neuroticism was assessed using different scales.

^b Range of baseline FI scores in UKB (min = 0, max = 0.57), AO50 (min = 0, max = 0.71), and SATSA (min = 0, max = 0.60).

^c Range of <10-y follow-up FI scores in SATSA (min = 0, max = 0.51).

^d Range of >20-y follow-up FI scores in SALT (min = 0, max = 0.70) and SATSA (min = 0 to max = 0.61).

TABLE 2. The Cross-Sectional Association Between Neuroticism and FI Scores in UKB, AO50, and SATSA Cohorts (β and 95% CI)

	UKB		AO50		SATSA	
	Model 1 ^a (n = 274,951)	Model 2 ^b (n = 273,769)	Model 1 (n = 2849)	Model 2 (n = 2650)	Model 1 (n = 1365)	Model 2 (n = 1318)
Neuroticism	0.33 (0.32 to 0.33)	0.32 (0.31 to 0.32)	0.35 (0.31 to 0.38)	0.35 (0.31 to 0.39)	0.34 (0.28 to 0.39)	0.33 (0.27 to 0.39)
Age	0.18 (0.18 to 0.19)	0.17 (0.17 to 0.18)	0.23 (0.19 to 0.27)	0.27 (0.23 to 0.31)	0.49 (0.44 to 0.55)	0.48 (0.43 to 0.54)
Sex	0.02 (0.02 to 0.03)	-0.01 (-0.01 to -0.01)	0.05 (0.01 to 0.08)	0.08 (0.05 to 0.12)	0.00 (-0.04 to 0.04)	0.00 (-0.04 to 0.05)
Education	-0.01 (-0.02 to -0.01)	0.00 (0.00 to 0.01)	0.02 (-0.01 to 0.06)	0.04 (0.00 to 0.08)	-0.03 (-0.06 to 0.00)	-0.02 (-0.05 to 0.02)
Smoking		0.08 (0.08 to 0.09)		0.09 (0.05 to 0.13)		0.03 (-0.01 to 0.08)
Exercise		-0.06 (-0.07 to -0.06)		-0.03 (-0.07 to 0.01)		-0.12 (-0.18 to -0.06)
BMI		0.25 (0.24 to 0.25)		0.17 (0.13 to 0.21)		0.06 (-0.00 to 0.11)

FI = frailty index; UKB = UK Biobank; AO50 = The Australian Over 50's Study; BMI = body mass index; SATSA = The Swedish Adoption/Twin Study of Aging; CI = confidence interval. Ninety-five percent CIs including zero indicate a nonsignificant association. Coefficients are standardized; effects of an SD change in neuroticism scores on an SD change in FI scores.

^a Adjusted for age, sex, and educational level.

^b Additionally adjusted for smoking status, exercise, and BMI.

TABLE 3. The Longitudinal Association Between Baseline Neuroticism and Follow-up FI scores in SALT and SATSA Cohorts (β and 95% CI)

	SALT		SATSA	
	FI Score 25- to 29-y Follow-up	FI Score 6-y Follow-up	FI Score 23-y Follow-up	FI Score 23-y Follow-up
	Model 1 ^a (n = 18,960)	Model 2 ^b (n = 18,773)	Model 1 (n = 1031)	Model 2 (n = 1031)
Neuroticism	0.24 (0.22 to 0.25)	0.22 (0.21 to 0.24)	0.31 (0.24 to 0.38)	0.06 (0.02 to 0.11)
Age	0.12 (0.10 to 0.13)	0.10 (0.09 to 0.12)	0.49 (0.41 to 0.57)	0.19 (0.13 to 0.26)
Sex	0.12 (0.11 to 0.14)	0.13 (0.11 to 0.14)	0.08 (0.03 to 0.14)	0.07 (0.03 to 0.11)
Education	-0.04 (-0.06 to -0.03)	-0.05 (-0.06 to -0.04)	0.02 (-0.03 to 0.06)	0.02 (-0.02 to 0.05)
Smoking	0.03 (0.02 to 0.04)	0.03 (0.01 to 0.04)	0.04 (-0.01 to 0.10)	0.01 (-0.03 to 0.06)
Exercise	-0.04 (-0.05 to 0.02)	-0.04 (-0.05 to -0.02)	-0.05 (-0.12 to 0.01)	0.00 (-0.04 to 0.04)
BMI	0.13 (0.11 to 0.15)	0.13 (0.11 to 0.15)	0.11 (0.04 to 0.19)	0.03 (-0.02 to 0.08)
Baseline FI/chronic illness		0.13 (0.12 to 0.15)		0.78 (0.71 to 0.85)

FI = frailty index; SALT = Screening Across the Lifespan of Twins Study; SATSA = The Swedish Adoption/Twin Study of Aging; BMI = body mass index. Ninety-five percent CIs including zero indicate a nonsignificant association. Coefficients are standardized; effects of an SD change in neuroticism scores on an SD change in FI scores.

^a Adjusted for age, sex, educational level, smoking status, exercise, and BMI.

^b Additionally adjusted for baseline FI/chronic illness.

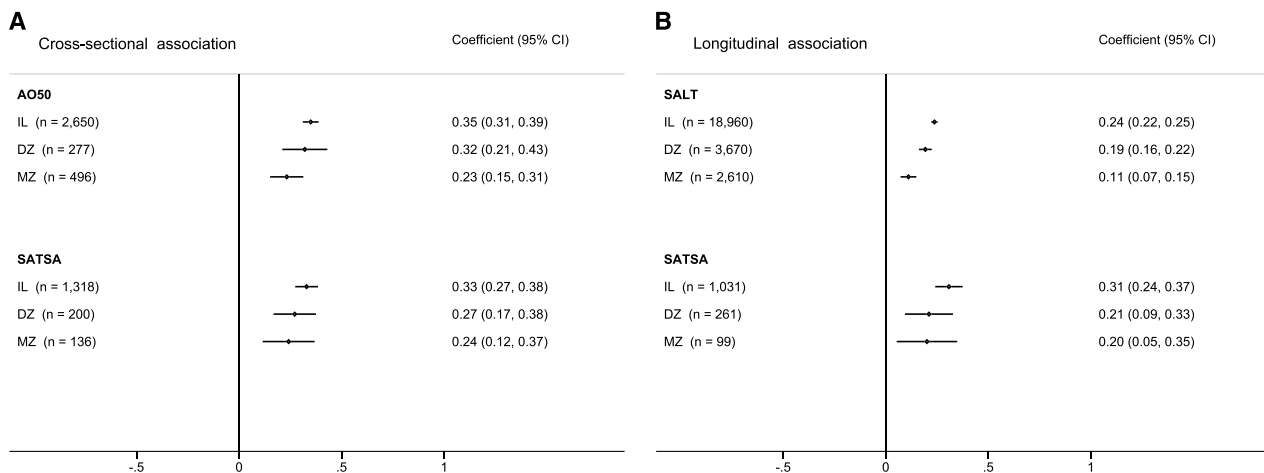


FIGURE 1. The cross-sectional (A) and longitudinal (B) associations between neuroticism and FI scores in AO50, SATSA, and SALT for the individual level association observed in the full cohort as well as for same-sex DZ and MZ twins (β , 95% CI). Models were corrected for relatedness and covariates: education, smoking, exercise, and BMI. Longitudinal association in SALT over 25 to 29 years and in SATSA over 6 years. FI = frailty index; AO50 = The Australian Over 50's Study; SATSA = The Swedish Adoption/Twin Study of Aging; SALT = Screening Across the Lifespan of Twins Study; IL = individual level; DZ = dizygotic twins; MZ = monozygotic twins; CI = confidence interval; BMI = body mass index.

found that higher neuroticism was consistently associated with greater frailty cross-sectionally and over more than two decades. After adjusting for underlying genetic and shared environmental factors using a co-twin control design, the association between neuroticism and frailty remained evident, although attenuated to some extent, suggesting a causal relationship and potentially indicating some shared underlying liability. Results from PRS analyses suggested the contribution of neuroticism-related genetic risk variants in frailty.

Overall, the results of the phenotypic analyses are in line with previous studies examining the association between neuroticism and frailty, based on two widely used measures of frailty: the Fried frailty phenotype (based on grip strength, weight loss, walking speed, exhaustion, and activity level) and the FI (13–15). This

demonstrates the robustness of the neuroticism-frailty association, regardless of whether frailty is based on objective indicators (i.e., Fried frailty phenotype) or self-reported health deficits (FI). Our study expanded the follow-up time up to 29 years, elucidating the stability of neuroticism in midlife as a predictor of late-life frailty. The associations between neuroticism and frailty were independent of age, sex, education, and three life-style factors—smoking status, exercise, and BMI—suggesting that neuroticism influences frailty over and above these potential confounding or mediating variables. To reduce the possibility of reverse causation by which poorer health at baseline may have influenced responses to items on the neuroticism scale, we additionally adjusted for baseline chronic illness/frailty. Although the effect size diminished, the

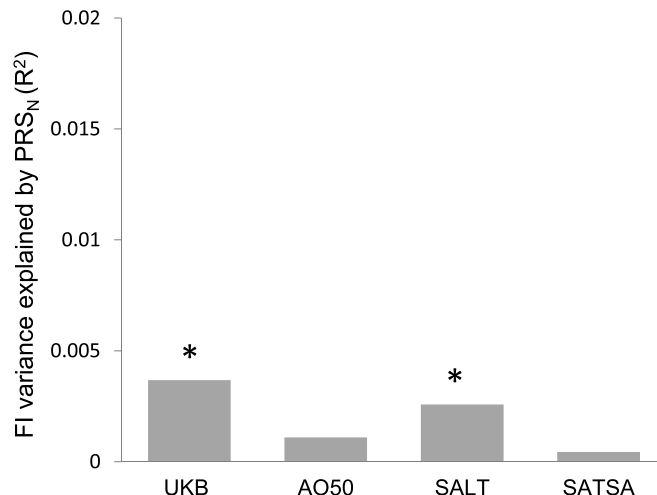


FIGURE 2. Variance in FI explained by polygenic risk scores for neuroticism in UKB ($n = 243,734$), AO50 ($n = 1037$), SALT ($n = 6221$), and SATSA ($n = 548$) cohorts. Variance refers to the difference in R^2 between full and reduced regression models. FI = frailty index; UKB = UK Biobank; AO50 = The Australian Over 50's Study; SALT = Screening Across the Lifespan of Twins Study; SATSA = The Swedish Adoption/Twin Study of Aging; CI = confidence interval; PRS_N = polygenic risk score for neuroticism. * $p < .001$.

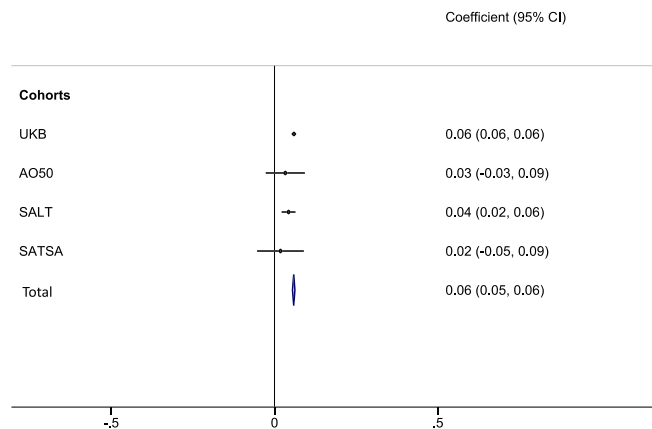


FIGURE 3. Effect sizes of polygenic risk scores for neuroticism on FI scores in UKB ($n = 243,734$), AO50 ($n = 1037$), SALT ($n = 6221$), and SATSA ($n = 548$) cohorts and meta-analytical effect size combining the observed effect in the four cohorts and taking into account sample size (β and 95% CI). Models were adjusted for age, sex, and PCs. FI = frailty index; UKB = UK Biobank; AO50 = The Australian Over 50's Study; SALT = Screening Across the Lifespan of Twins Study; SATSA = The Swedish Adoption/Twin Study of Aging; CI = confidence interval; PC = principal component. Color image is available only in the online version (www.psychosomaticmedicine.org).

association remained significant in SALT and in SATSA across 6 years but not 23 years where attrition likely affected the power as indicated by the vastly reduced sample size.

Our second aim was to assess how familial influences, that is, shared childhood environmental factors (e.g., socioeconomic factors and parental education) or common genetic factors, could potentially contribute to the relationship between neuroticism and frailty. Using the co-twin control design, we found that the neuroticism-frailty association remained evident even when adjusting for all underlying genetic and shared environmental factors (i.e., in MZ twins). This finding is in line with a causal hypothesis, indicating that higher neuroticism increases the risk of frailty (35). However, comparison of effect size attenuation (though not significant) in DZ and even further in MZ twins also suggests that part of the association between neuroticism and frailty is likely due to underlying shared factors, such as genetic risk.

Genetic risk for neuroticism was found to significantly predict frailty in the UKB and SALT, but not in AO50 and SATSA, which is likely due to the much smaller sample sizes and consequently low power. These results provide evidence that neuroticism and frailty are partly influenced by overlapping genetic factors. However, in all four cohorts, the amount of variance in FI scores explained by the PRS_N was small, yet not surprising considering the low predictive power of genetic risk scores in general (36). With increasing power of the discovery GWAS, estimation of effect sizes of common SNPs becomes more precise and PRS prediction will gain predictive power.

Together, our results demonstrate the involvement of both environmental and genetic factors in the relationship between neuroticism and health in late life. One possible mechanism through which neuroticism influences frailty is engagement in risky health behaviors. Previous research has shown that individuals with high neuroticism are more likely to smoke and have low physical activity (11,37), both factors that have previously been associated with frailty (38,39). Here, the neuroticism-frailty association only attenuated slightly when adjusting for life-style factors. However, our measures were crude (binary) and there may be other unmeasured health-related behaviors that could influence frailty.

Another possible explanation is that some mental health related aspects such as mood, feelings of loneliness, or nervousness are reflected in both measures of neuroticism and frailty. However, results of the sensitivity analysis with recalculated FI excluding all mental health items remained similar in all cohorts, emphasizing the robustness of neuroticism-frailty associations even without mental health items. The item-level sensitivity analyses revealed that neuroticism was significantly associated with most FI items, although with varying effects. The strongest associations were found with depressed mood and self-rated health, which are both independently associated with mortality and morbidity (40–46). However, pain items, fatigue, insomnia, hearing problems, and allergy also showed consistent associations across cohorts. Our results further highlight that psychological factors may influence the way older individuals perceive their health status and well-being, which emphasizes the importance of considering such factors when assessing the overall health status later in life.

Also, genetic overlap between neuroticism and frailty may contribute to the association, and this should be further investigated in the future when GWAS results on frailty become available, enabling investigations on potential genetic correlations. The pathophysiology of frailty is likely a complex combination of many physiological systems, including the aging brain, endocrine system, and immune system (2). Neuroticism may influence frailty through a number of such biologically relevant mechanisms. For example, neuroticism has been previously linked to biomarkers of the immune system (47) potentially associated with higher vulnerability to stressors and adverse disease outcomes. Another possible biological mechanism through which neuroticism could potentially influence frailty is the hypothalamic-pituitary-adrenal axis activity in the endocrine system. Physiological reserve is a prominent feature of frailty (2), and high neuroticism has been associated with dysregulation of the hypothalamic-pituitary-adrenal axis (48) and psychological stress (49). Future basic science research will need to examine the extent to which specific potential biological mechanisms may explain the neuroticism-frailty association.

This study has some limitations. First, FI was not available from SALT baseline measurement (Q73). The sample was

relatively young at the time, and because prevalence of frailty is low in young people (2), there would be little variance in frailty. However, we used information on chronic or serious illness collected in 1973 to adjust for baseline health status. Second, an insufficient sample size may have been a limitation for some analyses, such as in the longitudinal analysis in SATSA with the largest time interval and the polygenic prediction in SATSA and AO50. However, with the use of several cohorts, we could derive relatively consistent findings, highlighting the importance of well-powered samples, replication, and meta-analytic methods, especially when using the PRS approach. Finally, these results are based only on white populations; therefore, future studies should explore whether the neuroticism-frailty association holds also in other than Western societies and whether cultural differences in attitudes toward health and well-being could play a role.

In conclusion, this study indicates that in addition to physical and biological determinants of frailty, psychological predictors of frailty should also be acknowledged. The results provide evidence that neuroticism at midlife predicts frailty in late life and that, although the association may in part reflect shared underlying genetic liability, neuroticism may increase the risk of frailty.

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Ethical Approvals: All participants have given informed consent. Ethical permits have been granted for UKB by North West-Haydock Research Ethics Committee (16/NW/0274) and Stockholm's Regional Ethical Committee (2016/1888-31/1), AO50 by the QIMR Berghofer Human Research Ethics Committee (P1204), and SALT and SATSA studies by Stockholm's Regional Ethical Committee (00-132; 98-319).

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